LC Controlled Series Access Change

The Library of Congress has announced that beginning June 1, 2006, it has ceased to provide controlled series access in the bibliographic records (including CIP records) which it produces.

Formerly, when a publisher requested CIP data for a volume of a monographic series, the cataloger searched LC's database to see if a Series Authority Record (SAR) already existed for that series. If an SAR did exist, the volume was processed according to the series treatment indicated by the SAR. If an SAR for the series was not found, a new SAR was created which established a uniform series title under which the series could be retrieved in the database.

Series information was referenced in two places in the CIP data:

- 1) within parentheses in the "series statement" (i.e., showing how the series would appear in the book), and
- 2) in a series "added entry" following the last roman numeral. When the series title which appeared in the book was the same as the "established" form, the series added entry consisted simply of the word "Series."

For example:

Library of Congress Cataloging-in-Publication Data

Hughes, Arnold.

A political history of The Gambia, 1816-1994 / Arnold Hughes and David Perfect.

p. cm. -- (<u>Rochester studies in African history and the diaspora</u>, ISSN 1092-5228) Includes bibliographical references and index.

ISBN 1-58046-230-8 (hardcover : alk. paper)

1. Gambia--Politics and government. I. Perfect, David, 1960- . II. Title. III. <u>Series</u>. DT509.5.H84 2006

966.51'02--dc22

2006016874

The presence of the "Series" added entry indicated that controlled access had been established for the series in LC's database.

Under the new policy, the cataloger will no longer search the database for any previous SAR/series treatment which may have been created for the series nor will a new uniform series title be established as the "authorized" form by which all volumes of the series may be retrieved in LC's database.

Because the Library is no longer providing controlled series access, a "Series" added entry will not appear in the CIP data. The series information now will be referenced only in the series statement.

For example:

Library of Congress Cataloging-in-Publication Data

Hughes, Arnold.

A political history of The Gambia, 1816-1994 / Arnold Hughes and David Perfect.
p. cm. -- (Rochester studies in African history and the diaspora, ISSN 1092-5228)
Includes bibliographical references and index.
ISBN 1-58046-230-8 (hardcover: alk. paper)
1. Gambia--Politics and government. I. Perfect, David, 1960- . II. Title.
DT509.5.H84 2006
966.51'02--dc22

2006016874

Consequently, responsibility for the accuracy of the series information in the CIP data now depends primarily on the publisher. It is more important than ever that series information provided by the publisher on the CIP Data Application form and in the accompanying text file accurately reflects the series information that will appear in the published book. Catalogers will no longer consult LC's database to discover established series patterns nor adjust information provided by the publisher to match those established patterns.

The prescribed sources for series information are, in order of preference, the series title page, the title page, the cover, the rest of the publication--not the CIP application form.

Therefore, if the series appears on the CIP Data Application form but not in the accompanying text file, no series information will appear in the CIP data. If different versions of a series title are provided on the CIP Data Application form and in the accompanying text file, the text-file version will appear in the CIP data.

Some Examples of Differences to Series Treatment Under the New Policy

- 1) No attempt will be made to deduce the presence of a series based on scant evidence. For example, the designation "TR-310" appeared on the text-file title page which accompanied the CIP application for a volume in the publisher's "Technical Report" series. Under the new policy, "TR-310" would appear as a quoted note in the CIP data and the series would not be referenced.
- 2) No attempt will be made to differentiate among series with the same title issued by different publishers. For example, the series "Prehistoric Life" was issued by Franklin Watts and another series with the same title was issued by Weigl Publishers:

Formerly, the Franklin Watts series was referenced in the CIP data as follows:

Library of Congress Cataloging-in-Publication Data

Witmer, Lawrence M.

The search for the origin of birds / by Lawrence M. Witmer; illustrated by Kit Mather.

p. cm. -- (Prehistoric life)

Includes bibliographical references and index.

Summary: Discusses the facts and clues used to formulate the various theories of the origin of birds.

ISBN 0-531-11232-2 (alk. paper)

- 1. Birds, Fossil--Juvenile literature. 2. Birds--Origin--Juvenile literature. [1. Birds--Origin.
- 2. Birds, Fossil. 3. Prehistoric animals.] I. Mather, Kit, ill. II. Title. III. Series.

QE871.W58 1995

568--dc20

95-014635

The later-established Weigl Publishers series was referenced as follows:

Library of Congress Cataloging-in-Publication Data

Aspen-Baxter, Linda.

Plants / Linda Aspen-Baxter.

p. cm. -- (<u>Prehistoric life</u>)

Includes bibliographical references and index.

ISBN 1-59036-114-8 (lib. bdg. : alk. paper)

1. Plants, Fossil.-Juvenile literature. [1. Plants, Fossil.] I. Title. II. <u>Series: Prehistoric life</u> (Mankato, Minn.)

QE906.A78 2004

561--dc21

2003003970

The "Series" added entry in the Weigl data explicitly provided a differentiating uniform series title for the Weigl series, namely, "Prehistoric life (Mankato, Minn.)"

Under the new policy, the series will be referenced only once in the data for both publishers.

For example, the series information in the Franklin Watts data would appear as:

Library of Congress Cataloging-in-Publication Data

Witmer, Lawrence M.

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The search for the origin of birds / by Lawrence M. Witmer; illustrated by Kit Mather.
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p. cm. -- (Prehistoric life)
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Includes bibliographical references and index.

Summary: Discusses the facts and clues used to formulate the various theories of the origin of birds.

ISBN 0-531-11232-2 (alk. paper)

- 1. Birds, Fossil--Juvenile literature. 2. Birds--Origin--Juvenile literature. [1. Birds--Origin.
- 2. Birds, Fossil. 3. Prehistoric animals.] I. Mather, Kit, ill. II. Title.

QE871.W58 1995

568--dc20

95-014635

The series information in the Weigl Publishers data would also appear--now undifferentiated --as:

Library of Congress Cataloging-in-Publication Data

Aspen-Baxter, Linda.

Plants / Linda Aspen-Baxter.

p. cm. -- (Prehistoric life)

Includes bibliographical references and index.

ISBN 1-59036-114-8 (lib. bdg. : alk. paper)

1. Plants, Fossil--Juvenile literature. [1. Plants, Fossil.] I. Title.

OE906.A78 2004

561--dc21

2003003970

This means that a database search for the series "Prehistoric Life" will retrieve volumes of both series.

3) No attempt will be made to ascertain whether or not a particular first-named author of a volume of a series is also the first-named author of all the volumes of the series. For example, the series "Barnstormers" by Phil Bildner: Because Bildner is the author of all of the volumes of the series, the series was established under Bildner.

Formerly, the series information was referenced in the CIP data as follows:

Library of Congress Cataloging-in-Publication Data

Bildner, Phil.

Game 1 / Phil Bildner; illustrated by Loren Long. -- 1st ed.

p. cm. -- (Barnstormers)

Summary: In the late 1800s, a baseball with strange powers belonging to their late father works wonders for three children and a travelling baseball team which must raise \$10,000. ISBN 1-4169-1863-9

- [1. Baseball--Fiction. 2. Brothers and sisters--Fiction. 3. Supernatural--Fiction. 4. Cincinnati (Ohio)--History--19th century--Fiction.] I. Long, Loren, ill. II. Title. III. Title: Game one.
- IV. Series: Bildner, Phil. Barnstormers.

PZ7.B4923Gam 2007

[Fic]--dc22

2006013422

Under the new policy, the series is referenced once:

Library of Congress Cataloging-in-Publication Data

Bildner, Phil.

Game 1 / Phil Bildner; illustrated by Loren Long. -- 1st ed.

p. cm. -- (Barnstormers)

Summary: In the late 1800s, a baseball with strange powers belonging to their late father works wonders for three children and a travelling baseball team which must raise \$10,000. ISBN 1-4169-1863-9

[1. Baseball--Fiction. 2. Brothers and sisters--Fiction. 3. Supernatural--Fiction. 4. Cincinnati (Ohio)--History--19th century--Fiction.] I. Long, Loren, ill. II. Title. III. Title: Game one. PZ7.B4923Gam 2007 [Fic]--dc22

2006013422

4) No attempt will be made to gather together under a collective uniform title all of the volumes of a multivolume set which consists of the works of a single author when each volume of the set has its own distinctive title. For example, "The Clarendon Edition of the Works of David Hume" was assigned the collective uniform title "Works. 1998" [the publication date of the earliest-cataloged volume of the set].

Formerly, the series information was referenced in the CIP data as follows:

Library of Congress Cataloging-in-Publication Data

Hume, David, 1711-1776.

[Philosophical essays concerning human understanding]

An enquiry concerning human understanding: a critical edition / David Hume; edited by Tom L. Beauchamp.

p. cm. -- (The Clarendon edition of the works of David Hume)

Includes bibliographical references and indexes.

ISBN 0-19-825060-6 (alk. paper)

1. Knowledge, Theory of. I. Beauchamp, Tom L. II. Title. III. Series: Hume, David, 1711-1776. Works. 1998.

B1480 2000

121--dc21

00-035610

Under the new policy, the series is referenced once:

Library of Congress Cataloging-in-Publication Data

Hume, David, 1711-1776.

[Philosophical essays concerning human understanding]

An enquiry concerning human understanding : a critical edition / David Hume ; edited by Tom L. Beauchamp.

p. cm. -- (The Clarendon edition of the works of David Hume)

Includes bibliographical references and indexes.

ISBN 0-19-825060-6 (alk. paper)

1. Knowledge, Theory of. I. Beauchamp, Tom L. II. Title.

B1480 2000

121--dc21

00-035610

5) No attempt will be made to distinguish between variant forms of the series title which may appear in various volumes of the series. For example, in some volumes of the "Advances in Air Pollution Series," a variant of the established uniform series title appears.

Formerly, when the variant did appear, the CIP data referenced the series information in the following manner:

Library of Congress Cataloging-in-Publication Data

Regional and local aspects of air quality management / editors, D.M. Elsom & J.W.S. Longhurst.

p. cm. -- (Advances in air pollution, ISSN 1369-5886; v. 12)

Includes bibliographical references.

ISBN 1-85312-952-6

1. Air quality management. I. Elsom, Derek M. II. Longhurst, James W. S. III. <u>Series:</u> <u>Advances in air pollution series</u>; v. 12.

TD883.R434 2004 363.73926--dc21

2003116913

The series statement reflected the variant which appeared in the published book and the "Series" added entry provided the established uniform series title.

Under the new policy only the variant series title would be referenced in the CIP data.

Library of Congress Cataloging-in-Publication Data

Regional and local aspects of air quality management / editors, D.M. Elsom & J.W.S. Longhurst.

p. cm. -- (Advances in air pollution, ISSN 1369-5886; v. 12)

Includes bibliographical references.

ISBN 1-85312-952-6

TD883.R434 2004

363.73926--dc21

2003116913

In this example, the consequences of the new policy do not appear to be profound, but the more distant variant series titles are from one another, the less likely it is that a reader will be able to easily retrieve other volumes in the same series which may reside in the database.

If the series title provided when applying for CIP data does not match the form which appears in the published book, the reader's attempt to retrieve the book through the series title is thwarted. The publisher can greatly assist readers by consistently providing a uniform series title that matches the series title which appears in the published volumes of the series in each of the text files which accompany the CIP Data Applications for volumes of the same series.

Please note that items for which series cataloging was completed prior to June 1, 2006 will still reflect the earlier practice.

Please also note that LC has a number of ECIP cataloging partners that create bibliographic records for ECIP titles; for example, the National Library of Medicine and the National Agricultural Library catalog ECIPs in the subjects areas of medicine and agriculture respectively. Publishers should see no changes in these records. The Library of Congress has changed its procedures, but other libraries (like NLM and NAL) have not. Bibliographic records created by LC's ECIP cataloging partners should continue to include authorized forms of series backed by series authority records.

Relevant portions of the "CIP Publisher's Manual" and other ECIP documentation will be revised to reflect the new practice.